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AGENDA AT A GLANCE

	Financial Executive	Internal Auditing
SUNDAY, MAY 3		
5:00 PM – 7:00 PM	Cocktails in The Courtyard RITZ-CARLTON COURTYARD <i>Hosted by BMO Harris Bank and BMO Capital Markets</i>	
MONDAY, MAY 4		
7:00 AM – 8:00 AM	Breakfast SALON I <i>Sponsored by Connolly, LLC</i>	
8:00 AM – 8:10 AM	Welcome and Opening Remarks SALON II-III	
8:10 AM – 9:10 AM	Never Fly Solo SALON II-III	
9:15 AM – 10:15 AM	The Big Picture: An Update on The Macro Economy, Financial Markets and Food Retail Landscape SALON II-III <i>Sponsored by Ameriquest Transportation Services</i>	
10:15 AM – 10:30 AM	Networking Break SALON II-III FOYER	
10:30 AM – 11:40 AM	Financial Executive Idea Exchange (Open to Retailers/Wholesalers Only) ALGIERS, LAKEVIEW, BOARDROOM	Internal Auditing Idea Exchange (Open to Everyone) AUDUBON
11:40 AM – 12:30 PM	Relief for Your ACA Headache: Facing Ahead With Healthcare Reform SALON II-III	Food Safety Auditing for the Non-Food Safety Auditor AUDUBON
12:30 PM – 1:45 PM	LUNCH SALON I <i>Sponsored by NCH Marketing</i>	
1:45 PM – 2:45 PM	How to Save a Million or More: Creative Use of Tax Credits and Incentives to Increase ROI SALON II-III	The Surprising Truth About Free Value-Add Tools for IT Audits AUDUBON

2:55 PM – 3:45 PM	Time is Money: Strategies for Improving The Financial Closing Process SALON II-III	Stalemate? Breathing Creativity and Innovation into Internal Audit AUDUBON
3:45 PM – 4:00 PM	Networking Break SALON II-III FOYER	
4:00 PM – 5:00 PM	You Too Can Go to Jail! SALON II-III	
5:00 PM – 7:00 PM	Cocktail Reception and Vendor Showcase SALON II-III FOYER <i>Hosted by Flextechs</i>	

TUESDAY, MAY 5

7:00 AM – 8:00 AM	Breakfast SALON I <i>Sponsored by Epicor</i>	
8:00 AM – 8:50 AM	Mobile Payments: Staying Ahead of The Game SALON II-III	People-Centric Skills for Auditors: Tools to Use When the Stakes are High AUDUBON
8:55 AM – 9:45 AM	Accounting Update SALON II-III	Leveraging Big Data Value From Existing Audit Tools AUDUBON
9:45 AM – 10:00 AM	Networking Break SALON II-III FOYER	Networking Break AUDUBON FOYER
10:00 AM – 10:50 AM	People-Centric Skills: Emotional Intelligence SALON II-III	Planning for Game Day: Cyber Security, Information Technology, Business Continuity and You! AUDUBON
11:00 AM – 12:30 PM	Financial Executive Idea Exchange (Open to Retailers/ Wholesalers Only) ALGIERS, LAKEVIEW, SALON 1A AND SALON 1B	Internal Auditing Idea Exchange (Open to Everyone) AUDUBON
12:30 PM – 1:45 PM	Lunch SALON II-III <i>Sponsored by Inmar</i>	

1:45 PM – 2:35 PM	Roundtables ALGIERS, LAKEVIEW, SALON 1A, SALON 1B, MERCIER TERRACE	
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4:00 PM – 5:30 PM	Crossing The Generational Divide SALON II-III	
6:30 PM – 9:30 PM	A Night at The Museum MUSÉE CONTI <i>Hosted by RGIS</i>	

WEDNESDAY, MAY 6

7:00 AM – 8:00 AM	Breakfast SALON I	
8:00 AM – 8:50 AM	Social Engineering: Protecting Yourself, Your Assets and Your Brand SALON II-III	
8:50 A.M. – 9:00 A.M.	Networking Break SALON II-III FOYER	
9:00 AM – 9:50 AM	Back Office Outsourcing: Service Level Agreements That Deliver SALON II-III	Supply Chain Risk Management: A Dirt to Door Approach AUDUBON
9:55 AM – 10:45 AM	A View From The Hill: What the 2015 Congress Means for Your Business SALON II-III	Ready, Set, Go... Are You Prepared for the ACA Employer Mandate? AUDUBON
10:45 AM – 11:00 AM	Networking Break SALON II-III FOYER	
11:00 AM – 12:00 PM	Leading Excellence SALON II-III	



Inventory Specialists

Specialization Differentiates Us

PICS uses truly dedicated teams who act as an extension of your organization serving no other client but you; ensuring precisely trained, well rested crews. All of our front and back end systems were built specifically to support grocery, pharmacy, and drug stores.

Grocery is unique in retail, requiring distinctive skills and tools. More than 95% of the inventories PICS conducts are for the most successful grocers in the nation.



Interactive Mapping

Thank You!

To the numerous show attendees who are current customers, you have our heartfelt gratitude for continually choosing PICS, and recognizing the difference in the service we provide. It is a testament to your recognition that a service as specified as ours continues to grow at an unprecedented rate.

To those few attendees who are not yet current customers we ask that you take a moment to speak to others at the show and ask how we differ from our competitors.

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FINANCIAL EXECUTIVE CONFERENCE AGENDA

SUNDAY, MAY 3

5:00 PM – 7:00 PM

RITZ-CARLTON

COURTYARD



Cocktails in The Courtyard

Hosted by BMO Harris Bank and BMO Capital

Markets

Join us for cocktails and light hors d'oeuvres as we kick off the 2015 Financial Executive and Internal Auditing Conferences. The setting for this welcome reception is the beautiful Courtyard at the Ritz Carlton, New Orleans, reminiscent of those that can be found behind the closed doors of mansions throughout the historic French Quarter.

MONDAY, MAY 4

7:00 AM – 8:00 AM

SALON I



Breakfast

Sponsored by Connolly, LLC

8:00 AM – 8:10 AM

SALON II-III

Welcome Remarks

» *Kristina Rota, Vice President, Accounting and Control, Ahold USA*

8:10 AM – 9:10 AM

SALON II-III

Never Fly Solo

» *Lt. Col. (ret.) Rob 'Waldo' Waldman - "The Wingman"*
 In "Never Fly Solo", former Air Force fighter pilot Rob "Waldo" Waldman applies the lessons he learned during death-defying combat and explains how to transform ordinary relationships into mutually beneficial partnerships. His message is simple: whether achieving greatness as a fighter pilot or in business, the same qualities that drive success apply: disciplined training, dedicated teamwork, impassioned leadership and most of all... unwavering trust. Join this exhilarating session and gain insight into the Wingman philosophy of peak performance, leading with courage, building trusting relationships, and working as a cohesive team to win your organization's mission. Visit www.yourwingman.com for more details about this program.

9:15 AM – 10:15 AM
SALON II-III



The Big Picture: An Update on The Macro Economy, Financial Markets and Food Retail Landscape

» *Scott Moses, Managing Director-Head of Food, Drug & Specialty Retail Investment Banking, Sagent Advisors*

What are the crucial issues keeping food retail CFOs and CEOs up at night in terms of the state of the macro economy, financial markets and the strategic landscape? Review the key macroeconomic factors that food retail financial executives might incorporate into their strategic and financial projections calculus, discuss operational benchmarking and relative valuation and investigate the prospective shifts in the food retail M&A landscape.

Sponsored by Ameriquest Transportation Services

10:15 AM – 10:30 AM
SALON II-III FOYER

Networking Break

10:30 AM – 11:40 AM
ALGIERS, LAKEVIEW,
BOARDROOM

Idea Exchange (Open to Retailers/Wholesalers Only)

Join fellow retail financial executives in a peer-driven informal discussion on a variety of timely topics chosen by you. This session will give you an opportunity to exchange best practices and take home actionable solutions to your toughest financial challenges. Please submit potential discussion topics and vote on the ones submitted by peers at <https://fmi.cnf.io/>

Please proceed to the room printed on the back of your badge.

11:40 AM – 12:30 PM
SALON II-III

Relief for Your ACA Headache: Facing Ahead With Healthcare Reform

» *Kate Hubben, Client Advocate, Willis Group*
Healthcare Reform is a moving target but can become a huge financial burden for employers. What are the best approaches to make sure that you stay in compliance with ACA while compressing costs? What are the key items you need to consider to avoid fines during a DOL audit? How are other grocery stores, small and large, dealing with PPACA fees and reporting and what elements should be a priority in plan designs, cost transparency and compliance? Get answers to these questions as well as an overview of innovative plan designs that will meet regulations while continuing to offer attractive employee benefits.

12:30 PM – 1:45 PM
SALON I



Lunch

Sponsored by NCH Marketing

1:45 PM – 2:45 PM
SALON II-III

How to Save a Million or More: Creative Use of Tax Credits and Incentives to Increase ROI

» *Myriam Sido Simmons, Executive Director, National Tax, Ernst and Young LLP*
The efficient use of capital is key to a successful growth strategy, especially when it is allocated for large expenditures such as real estate, equipment or the expansion of existing facilities. As a retail financial leader, do you know how to successfully negotiate and use local, state and federal tax credits and incentives to reduce your company's use of capital and improve ROI? Knowing which projects may qualify, the types of credits or incentives available and how to present your project to key external stakeholders can mean millions of dollars shaved from your bottom line. Get an overview of the basic types of tax credits and negotiated incentives available (including grants, New Market Tax Credits and abatements), identify which capital expenditures they are best applied to and discover how they can be used as a powerful financial tool to improve ROI.

2:55 PM – 3:45 PM
SALON II-III

Time is Money: Strategies for Improving The Financial Closing Process

- » Kristina Rota, Vice President, Accounting and Control, Ahold USA (Moderator)
 - » Dave Hooper, Vice President, Business Development and Marketing, Ahold USA
 - » Gregg Maxwell, Group Vice President NASC, Controller Retail Accounting, Safeway Inc.
 - » John Franklin, Senior Vice President - Controller, Brookshire Grocery Company
- Navigating the complicated financial close-to-disclose process remains a time-consuming, headache-inducing process, but it's a necessary evil. As any financial leader will tell you, there are a plethora of challenges to deal with – complex regulations, potential for human error, timing, automation, intense manual labor and too many spreadsheets to name a few. Join a panel of your peers as they discuss methods and techniques to streamline the closing process with more accuracy and transparency to save time, money and aggravation.

3:45 PM – 4:00 PM
SALON II-III FOYER

Networking Break

4:00 PM – 5:00 PM
SALON II-III

You Too Can Go to Jail!

- » Bill Spoehr, Vice President, Internal Auditing and SOX, Safeway Inc.
- Federal regulators continue to focus on financial fraud through comprehensive investigations and enforcement actions. More aggressive enforcement by the SEC and Justice Department, as well as higher levels of scrutiny by outside auditors and the PCAOB, has exposed all levels of management to federal penalties and litigation, and it's no longer just the C-suite at risk. This session will give you an overview of recent financial fraud investigations and penalties and show you how to review your company's internal controls, policies and procedures to help ensure adequate controls exist to minimize the risk mid-level employees may face when things "go wrong" at the top. You'll leave with a better sense of the financial investigations employees may face and an action plan for reducing company and personal risk.

5:00 PM – 7:00 PM
SALON II-III FOYER



Cocktail Reception and Vendor Showcase

Hosted by FlexTecs

Build and foster new business relationships and catch up with old friends at this casual cocktail reception with open bar and light hors d'oeuvres. Spend time getting to know the products and services of the conference sponsors at the vendor showcase.

TUESDAY, MAY 5

7:00 AM – 8:00 AM
SALON I

Breakfast

Sponsored by Epicor



8:00 AM – 8:50 AM
SALON II-III

Mobile Payments: Staying Ahead of The Game

- » Tony Hayes, Partner, Retail Banking and Payments Practice, Oliver Wyman
- Over the past decade, there's been a dramatic shift in the way consumers conduct payment transactions. The payment technology landscape continues to evolve at a rapid pace with the introduction of mobile payments systems such as Apple Pay and MCX, dubbed as "game changers" in the retail world. But, as retailers, how do you separate the signal from the noise and discern what these so-called "game-changers" mean for you and your retail grocery business? Join this informative and interactive session to get an overview of the mobile payments landscape, discuss and evaluate the current options and determine what's best for you. Discover who the game-changers might be, what game we're trying to change and whether you, as retailers, can play your own game to drive sales and lower payments costs.

8:55 AM – 9:45 AM

SALON II-III

Accounting Update

- » *Melissa Palmer, Partner, Retail and Consumer, PricewaterhouseCoopers LLP*
- » *Loredana C Pfannenbecker, Director, PricewaterhouseCoopers LLP*

Attend this informative session to get crucial updates on accounting and reporting developments and stay current on changes in the regulatory environment, with a focus on the value provided to investors from integrated reporting. Get an overview of recently issued accounting standards, specifically what retailers need to know about adopting the new revenue recognition standard. Discuss the latest changes and trends in abandoned and unclaimed property.

9:45 AM – 10:00 AM

SALON II-III FOYER

Networking Break

10:00 AM – 10:50 AM

SALON II-III

People-Centric Skills: Emotional Intelligence

- » *Danny Goldberg, Founder, GOLD SRD*
Emotional Intelligence (EI) is the ability to recognize and manage one's emotions, behaviors, moods and impulses in certain situations. It is also understanding the root causes of these emotions and how using emotional information can help to effectively identify who you are and how you interact with others. Studies have shown that people with a high level of EI enjoy better mental health, exercise greater judgment, perform better on the job and possess stronger leadership skills. Thus, EI is an important factor for companies in the hiring, promotion and employee development process. Join this session to get a basic overview of EI and its importance and learn how improving your EI skills can have a positive impact on your professional and personal life.

11:00 AM – 12:30 PM

ALGIERS, LAKEVIEW,

SALON 1A, SALON 1B

Idea Exchange (Open to Retailers/Wholesalers Only)

Join fellow retail financial executives in a peer-driven informal discussion on a variety of timely topics chosen by you. This session will give you an opportunity to exchange best practices and take home actionable solutions to your toughest financial challenges. Please submit potential discussion topics and vote on the ones submitted by peers at <https://fmi.cnf.io/>
Please proceed to the room printed on the back of your badge.

12:30 PM – 1:45 PM

SALON II-III



Lunch

Sponsored by Inmar

1:45 PM – 2:35 PM

SALON 1A

Roundtables

Join your peers for a roundtable discussion on the following topics. You may attend any of these discussions regardless of which conference you are attending.

FMI on The Hill (FE)

- » *Andy Harig – Director, Government Relations, Food Marketing Institute*
- » *Hannah Walker – Director, Government Affairs, Food Marketing Institute*

Discuss legislative and regulatory changes that may impact your company in 2015 and beyond. FMI's government relations team will answer your questions and discuss how FMI represents the retail industry in Washington.

SALON 1B

Current Trends in Inventory Management (FE)

- » *Dave Johnson, Vice President, Sales and Marketing, WIS International*
 - » *Alan Voels, Managing Director, PricewaterhouseCoopers*
- Join a facilitated discussion supported by industry data on trends in inventory management, with focus on auditing practices, inventory frequency, post audit reconciliation & inventory accounting methodology. Additional discussion will take place on evolving business practices (Omni Channel), technology (R.F.I.D.) and the impact on inventory practices in the future.

Risk Management (FE)

- » *Bill Spoehr, Vice President, Internal Audit and SOX, Safeway Inc.*

This roundtable will focus on defining risk management in a food retail setting and examine how companies are addressing risks with their board or directors, senior management, insurers and auditors. Join your peers to discuss various approaches to comprehensive risk assessment and share ideas and best practices on how to educate employees about risk management. Learn from each other how we can all do better at managing this ever-evolving high-profile issue.

Adding Value With Store Audits (IA)

- » *Matt Osterhaven, Internal Audit Manager, Meijer, Inc.*
- Join your internal audit peers for a discussion on store audit best practices. This discussion will help you build strategies for developing and executing recommendations that maximize the value that store audits provide, transform your perception of the role you play and help you become a change agent for your company.

Warehouse Operations Risk (IA)

- » *Bill Naughton, Corporate Audit Manager, SpartanNash Inc.*
- Join your internal auditing peers for a discussion on identifying and evaluating risks in warehouse operations and consequent audit responses. Share best practices for using operational KPIs to guide your audit work.

Working Effectively With Your Audit Committee (IA)

- » *James S. Czarnecki – Principal, Advisory, KPMG*
- » *John Verdonck – Partner, Audit, KPMG*

At a time when audit committees are wrestling with heavy agendas—and issues like cyber security and global compliance are putting risk management to the test—internal audit should be a highly-valued resource and a prominent voice at the table. This roundtable discussion will focus on how internal audit can bring the full value of its resources and expertise to bear in supporting the audit committee—as well as the board and the business—in an increasingly challenging risk environment

2:45 PM – 3:35 PM**Roundtables II**

The sessions above will be repeated

3:35 PM – 4:00 PM**Networking Break****SALON II-III FOYER****4:00 PM – 5:30 PM****Crossing The Generational Divide****SALON II-III**

- » *Curt Steinhurst, The Center for Generational Kinetics*

We work in a time of unprecedented generational change. Four generations in the workforce. Five generations in the marketplace. Strategies that work for one generation can be complete turn-offs for others. This divide is a costly challenge or breakthrough opportunity, depending entirely how you and your leaders respond. In *Crossing the Generational Divide*, Curt Steinhurst entertainingly reveals each generation's workplace mindset and strengths. He shares surprising statistics, laugh-out-loud stories, and frontline-tested strategies that quickly drive results across generations. You'll leave motivated to act with ready-to-use tools you can apply immediately.

6:30 PM – 9:30 PM

MUSÉE CONTI



A Night at The Museum

Hosted by RGIS

Join new friends and old for a fun, relaxing social evening at the Musée Conti, New Orleans' famous historical wax museum. Built in 1890, "The WAX," as it is known, is a perfect example of turn of the century French Quarter architecture and offers a glimpse into the scandalous history of New Orleans. After your personal tour of the museum, you'll be invited to dine on a sumptuous feast of traditional Louisiana cuisine in the Legends room while being serenaded by the light jazz sound of a local jazz trio. After dinner you'll have the opportunity to try your hand at the poker, black jack or roulette tables for an opportunity to win a special prize.

WEDNESDAY, MAY 6TH

7:00 AM – 8:00 AM

Breakfast

SALON I

8:00 AM – 8:50 AM

SALON II-III

Social Engineering: Protecting Yourself, Your Assets and Your Brand

- » *Vince Crisler, Partner, Fortalice Solutions, LLC*
One of the greatest threats to you and your organization's information systems and digital assets is the interaction between humans and the systems they use. As professionals who have access to key assets and financial information, financial executives and internal auditors are a strategic target for social engineering. This session will examine the current state of the social engineering threat, explore the latest tactics and methods used by attackers to socially engineer company employees and discuss the unique threats faced by internal auditors and financial executives in the retail industry. Learn how to recognize the early signs of potential attacks, get expert advice on how to protect yourself and your company's assets and discover which free resources are available to help you prevent and manage social engineering risks.

8:50 AM – 9:00 AM

SALON II-III FOYER

Networking Break

9:00 AM – 9:50 AM

SALON II-III

Back Office Outsourcing: Developing Service Level Agreements That Deliver

- » *Weston Jones, PMP, Ernst & Young Advisory Services*

Most financial executives would agree that outsourcing back-office business functions provides significant benefits, such as lower costs, access to leading practice processes, more time for core business activities and the ability to refocus resources. Yet, not all outsourcing initiatives deliver the expected benefits. Their failure can often be attributed to weak management of vendor relationships as well as a lack of service-level agreements (SLAs) with clearly-defined performance metrics and oversight procedures. Designing and documenting an effective SLA requires governance, oversight and alignment of common strategic and financial goals as well as flexibility to address evolving efficiency and innovation opportunities. Discover why not all SLAs are created equal and take home actionable ideas on how to negotiate, implement and manage a successful SLA that delivers desired outcomes.

9:55 AM – 10:45 AM

SALON II-III

A View From The Hill: What The 2015 Congress Means For Your Business

- » *Andy Harig, Director, Government Relations, Food Marketing Institute*
- » *Hannah Walker, Director, Government Relations, Food Marketing Institute*
- » *Jeff Kummer, Director of Tax Policy, Deloitte Tax LLP*

See what Capitol Hill and the Administration have in store for your business and the retail food industry. Get an overview of the current legislative, tax and regulatory changes that will impact your operations in 2015 and beyond.

10:45 AM – 11:00 AM **Networking Break**
SALON II-III FOYER

11:00 AM – 12:00 PM **Leading Excellence**
SALON II-III

- » *Dennis Snow, President, Snow & Associates*
Employees watch to see how committed we are as leaders and take their cue directly from us. As customer expectations are at an all-time high and business competition is fierce – exemplary leadership today is critical. Former Disney executive, Dennis Snow, author of the book, *Lessons from the Mouse: A Guide for Applying Disney World's Secrets of Success to Your Organization, Your Career, and Your Life* helps today's leaders step into their multi-faceted role as visionary, facilitator and idea champion. Discover the benefits of leadership that create a culture of accountability among employees, learn how to build organizational commitment to a vision and take home specific "walk the talk" leadership behaviors that reinforce organizational values

Feeling any pressure on margins?

FlexTecs can help....

Our team delivers vital cash and margin realization to grocers around the world with unmatched value, speed, quality, and flexibility.

- Powerful, custom-configured internal recovery audit and error prevention tools
- Internal recovery audit advisory and staff augmentation teams
- Accelerated real or near-time external post audit...with freedom to onboard to an internal at any time.

The FlexTecs model returns more total cash to your bottom line and lowers post audit-related expenses by 30-60%.

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FlexTecs
Flexible Technology Solutions

Supplier Trade Fund Visibility

Gives Merchants Negotiating Power



Available with **ATG's Integrated
Near Real-Time Audit and
TradeView Analytics™ Applications**



atgaudits.com
Contact: Paul Dinkins
pdinkins@atgaudits.com
970.672.4147

INTERNAL AUDITING CONFERENCE AGENDA

SUNDAY, MAY 3

5:00 PM – 7:00 PM

RITZ-CARLTON
COURTYARD



Cocktails in The Courtyard

Hosted by BMO Harris Bank and BMO Capital Markets

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MONDAY, MAY 4

7:00 AM – 8:00 AM

SALON I



Breakfast

Sponsored by Connolly, LLC.

8:00 AM – 8:10 AM

SALON II-III

Welcome and Opening Remarks

» *Marty Gurry, Internal Audit Director, Meijer, Inc.*

8:10 AM – 9:10 AM

SALON II-III

Never Fly Solo

» *Lt. Col. (ret.) Rob 'Waldo' Waldman - "The Wingman"*
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9:15 AM – 10:15 AM
SALON II-III



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11:40 AM – 12:30 PM
AUDUBON

Food Safety Auditing for the Non-Food Safety Auditor

» *Tom Ford, Vice President, Food Safety, Ecolab*
Internal auditors are not food safety specialists, yet they can play an important role in protecting their company's brand and the food sold under its banner. This session will equip food retail internal auditors with the knowledge to ask the right questions that will positively impact food safety procedures and policies in their companies. Get an overview of the most common food safety frameworks and learn why and how food safety failures occur. Discover what you need to know about sanitation procedures, temperature controls, expiration dates, equipment handling, employee training and third party service provider effectiveness to protect both people and profits.

12:30 PM – 1:45 PM
SALON I



Lunch

Sponsored by NCH Marketing

1:45 PM – 2:45 PM
AUDUBON

The Surprising Truth About Free Value-Add Tools for IT Audits

» *Todd Wright, Senior Information Technology and Financial Auditor, Alex Lee, Inc.*
Is there really such a thing as a free audit tool? And if it's free, how good can it be? Join this session to hear the surprising truth about some of the best FREE audit tools available that will add value to your IT audit techniques. Discover a full-featured statistical analysis package including over 70 functions and tests, dozens of built-in datasets and graphing; an open source utility for network discovery and security auditing for tasks such as network inventory, managing service upgrade schedules and monitoring host or service uptime; and applications to find security holes in the configuration and computer code that websites use.

2:55 PM – 3:45 PM
AUDUBON

Stalemate? Breathing Creativity and Innovation into Internal Audit

- » *Danny Goldberg, Professional Development Consultant, GOLD SRD*

Let's face it - internal auditing can be a very stagnant profession, but only if we allow it to be. We all like our routines and processes, but if we don't apply critical thinking to our decisions or let our pre-conceived notions about how things should be done cloud our view, we fail to innovate and run the risk of falling behind our competitors. During this session, you'll discover the negative effects of SALY, learn how brainstorming can add significant value throughout the internal audit process, hear how to coordinate and run meetings and interviews more effectively and understand how to avoid emotional trigger words. You'll leave with a fresh perspective on internal audit and some great new ideas to apply in your own internal audit departments.

3:45 PM – 4:00 PM
SALON II-III FOYER

Networking Break

4:00 PM – 5:00 PM
SALON II-III

You Too Can Go to Jail!

- » *Bill Spoehr, Vice President, Internal Auditing and SOX, Safeway Inc.*

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TUESDAY, MAY 5

7:00 AM – 8:00 AM
SALON I



Breakfast

Sponsored by Epicor

8:00 AM – 8:50 AM
AUDUBON

People-Centric Skills for Auditors: Tools to Use When the Stakes are High

- » *Danny Goldberg, Professional Development Consultant, GOLD SRD*

We all know at least one. Terrific technical auditors who could use some help with their communication skills, that is. And it's no surprise that auditors who can communicate effectively are exponentially more successful than auditors who cannot. Based on the book *People-Centric Skills: Interpersonal and Communication Skills for Internal Auditors*, the first publication specifically addressing communication skills for internal auditors on the market, this presentation will open your eyes to the importance of the first impression and show you how to make a good one. You'll learn how to use the most appropriate words at the appropriate times, and gain a better understanding of gesture clusters and neurolinguistic eye cues and how they can assist the audit process.

8:55 AM – 9:45 AM
AUDUBON

Leveraging Big Data Value From Existing Audit Tools

» *Eric Van Tongeren, Internal Audit Manager, Meijer, Inc.*

In today's "Big Data" world, high performance data analysis software is helping auditors increase the scope and impact of their audits. But, more often than not, the ability to leverage "Big Data" can come with a big price tag. What if you could gain similar value just by using your existing end-user tools more creatively? In this interactive session participants will work through case studies that apply common spreadsheet and database tools to complex audit questions, from data exploration and analytical steps to summary of conclusions. Participants may find value in bringing a laptop or tablet; however computers are not required for the session.

9:45 AM – 10:00 AM
AUDUBON FOYER

Networking Break

10:00 AM – 10:50 AM
AUDUBON

Planning For Game Day: Cyber Security, Information Technology, Business Continuity And You!

» *Vince Crisler, Partner, Fortalice Solutions, LLC.*

This session will spotlight several real-world case studies of cyber attacks within the retail sector and provide resources and takeaways that are directly applicable to the internal audit community. Led by a cyber security expert, this guided discussion will help you better understand how to navigate through compliance frameworks for cyber security, business continuity challenges, and operational process improvement to better prepare for the emerging cyber security threats faced by your company. Get an overview of the FMI Incident Response Framework and how it can be used as a tool for internal auditors. Learn how tabletop exercises can be used to test organizational readiness and compliance with written policies and procedures. Uncover industry best practices related to incident response and business continuity planning and free resources that internal auditors can use to improve their capabilities, increase their efficiency and manage risk.

11:00 AM – 12:30 PM
AUDUBON

Idea Exchange (Open to Everyone)

Join fellow internal auditors in a peer-driven informal discussion on a variety of timely topics chosen by you. This session will give you an opportunity to exchange best practices and take home actionable solutions to your toughest internal auditing challenges. Please submit potential discussion topics and vote on the ones submitted by peers at <https://fmi.cnf.io/>

12:30 PM – 1:45 PM
SALON II-III

Lunch

Sponsored by Inmar



1:45 PM – 2:35 PM

Roundtables

Join your peers for a roundtable discussion on the following topics. You may attend any of these discussions regardless of which conference you are attending.

LAKEVIEW

Adding Value With Store Audits (IA)

- » *Matt Osterhaven, Internal Audit Manager, Meijer, Inc.*

Join your internal audit peers for a discussion on store audit best practices. This discussion will help you build strategies for developing and executing recommendations that maximize the value that store audits provide, transform your perception of the role you play and help you become a change agent for your company.

AUDUBON

Warehouse Operations Risk (IA)

- » *Bill Naughton, Corporate Audit Manager, SpartanNash Inc.*

Join your internal auditing peers for a discussion on identifying and evaluating risks in warehouse operations and consequent audit responses. Share best practices for using operational KPIs to guide your audit work.

ALGIERS

Working Effectively With Your Audit Committee (IA)

- » *James S. Czarnecki – Principal, Advisory, KPMG*
- » *John Verdonck – Partner, Audit, KPMG*

At a time when audit committees are wrestling with heavy agendas—and issues like cyber security and global compliance are putting risk management to the test—internal audit should be a highly-valued resource and a prominent voice at the table. This roundtable discussion will focus on how internal audit can bring the full value of its resources and expertise to bear in supporting the audit committee—as well as the board and the business—in an increasingly challenging risk environment

SALON 1A

FMI on The Hill (FE)

- » *Andy Harig – Director, Government Relations, Food Marketing Institute*
- » *Hannah Walker – Director, Government Affairs, Food Marketing Institute*

Discuss legislative and regulatory changes that may impact your company in 2015 and beyond. FMI's government relations team will answer your questions and discuss how FMI represents the retail industry in Washington.

SALON 1B

Current Trends in Inventory Management (FE)

- » *Dave Johnson, Vice President, Sales and Marketing, WIS International*
- » *Alan Voels, Managing Director, PricewaterhouseCoopers*

Join a facilitated discussion supported by industry data on trends in inventory management, with focus on auditing practices, inventory frequency, post audit reconciliation & inventory accounting methodology. Additional discussion will take place on evolving business practices (Omni Channel), technology (R.F.I.D.) and the impact on inventory practices in the future.

MERCIER TERRACE

Risk Management (FE)

- » *Bill Spoehr, Vice President, Internal Audit and SOX Safeway Inc.*

This roundtable will focus on defining risk management in a food retail setting and examine how companies are addressing risks with their board or directors, senior management, insurers and auditors. Join your peers to discuss various approaches to comprehensive risk assessment and share ideas and best practices on how to educate employees about risk management. Learn from each other how we can all do better at managing this ever-evolving high-profile issue

2:45 PM – 3:35 PM

Roundtables II

The sessions above will be repeated

3:35 PM – 4:00 PM

Networking Break

SALON II-III FOYER

4:00 PM – 5:30 PM

Crossing The Generational Divide

SALON II-III

- » *Curt Steinhorst, Center for Generational Kinetics*
- We work in a time of unprecedented generational change. Four generations in the workforce. Five generations in the marketplace. Strategies that work for one generation can be complete turn-offs for others. This divide is a costly challenge or breakthrough opportunity, depending entirely how you and your leaders respond. In *Crossing the Generational Divide*, Curt Steinhorst entertainingly reveals each generation's workplace mindset and strengths. He shares surprising statistics, laugh-out-loud stories, and frontline-tested strategies that quickly drive results across generations. You'll leave motivated to act with ready-to-use tools you can apply immediately.
-

6:30 PM – 9:30 PM

MUSÉE CONTI



A Night at The Museum

Hosted by RGIS

Join new friends and old for a fun, relaxing social evening at the Musée Conti, New Orleans' famous historical wax museum. Built in 1890, "The WAX," as it is known, is a perfect example of turn of the century French Quarter architecture and offers a glimpse into the scandalous history of New Orleans. After your personal tour of the museum, you'll be invited to dine on a sumptuous feast of traditional Louisiana cuisine in the Legends room while being serenaded by the light jazz sound of a local jazz trio. After dinner you'll have the opportunity to try your hand at the poker, black jack or roulette tables for an opportunity to win a special prize.

WEDNESDAY, MAY 6

7:00 AM – 8:00 AM

Breakfast

SALON I

8:00 AM – 8:50 AM

Social Engineering: Protecting Yourself, Your Assets and Your Brand

SALON II-III

- » *Vince Crisler, Partner, Fortalice Solutions*
- One of the greatest threats to you and your organization's information systems and digital assets is the interaction between humans and the systems they use. As professionals who have access to key assets and financial information, financial executives and internal auditors are a strategic target for social engineering. This session will examine the current state of the social engineering threat, explore the latest tactics and methods used by attackers to socially engineer company employees and discuss the unique threats faced by internal auditors and financial executives in the retail industry. Learn how to recognize the early signs of potential attacks, get expert advice on how to protect yourself and your company's assets and discover which free resources are available to help you prevent and manage social engineering risks.
-

8:50 AM – 9:00 AM

Networking Break

SALON II-III FOYER

9:00 AM – 9:50 AM

Supply Chain Risk Management: A Dirt to Door Approach

AUDUBON

- » *Amanda Gufford, Risk Advisory and Consulting Services, McGladrey LLP*
- To instill increased consumer confidence in the food supply, retailers and manufacturers must focus on the vulnerability points throughout the supply chain by establishing key controls that adequately mitigate food safety, food security and product availability risks. Explore some of the key risks that are inherent throughout the supply chain and evaluate your ability as an internal auditor to mitigate them. Learn how to recognize indicators of elevated risks and identify potential risk mitigation gaps.

9:55 AM – 10:45 AM
AUDUBON

Ready, Set, Go...Are You Prepared for the ACA Employer Mandate?

- » Jodi Bahl, Principal, Human Capital, Ernst & Young
- Under the Affordable Care Act (ACA), employers with more than 50 employees are required to provide information statements to employees and information returns to the IRS that contain details about employees' health coverage benefits. Join this informative and timely session to get a legislative update on the Employer Mandate of the Affordable Care Act and discuss the compliance and reporting requirements for employers under IRC Sections 6055 and 6056. You'll gain insight on challenges facing other retailers, learn best practices for identifying, tracking and reporting requirements related to the Mandate and discover how to identify potential areas of risk associated with ACA compliance.

10:45 AM – 11:00 AM
SALON II-III FOYER

Networking Break

11:00 AM – 12:00 PM
SALON II-III

Leading Excellence

- » Dennis Snow, President, Snow & Associates
- Employees watch to see how committed we are as leaders and take their cue directly from us. As customer expectations are at an all-time high and business competition is fierce – exemplary leadership today is critical. Former Disney executive, Dennis Snow, author of the book, *Lessons from the Mouse: A Guide for Applying Disney World's Secrets of Success to Your Organization, Your Career, and Your Life* helps today's leaders step into their multi-faceted role as visionary, facilitator and idea champion. Discover the benefits of leadership that create a culture of accountability among employees, learn how to build organizational commitment to a vision and take home specific "walk the talk" leadership behaviors that reinforce organizational values

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